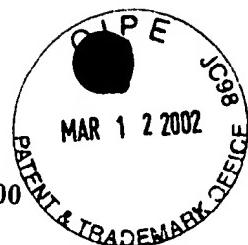


33082M100



*Section*  
**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of: )  
Kazuyoshi Namba )  
Serial No. : 09/940,855 )  
Filed: August 29, 2001 )

Examiner: To Be Assigned

Group Art Unit: To Be Assigned

For : SUBSTRATE CLEANING APPARATUS AND SUBSTRATE CLEANING  
METHOD

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL  
APPLICATION, FILED UNDER 37 C.F.R. 1.53(b)**

**Attn.: Box Missing Parts**  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed December 13, 2001, Applicant submits herewith the following documents relating to the above-captioned patent application:

- A copy of the Notice to File Missing Parts of Application;
- Executed Declaration and Power of Attorney;
- Assignment Recordation Cover Form attached w/ executed Assignment;
- English translation of the specification with Declaration;
- Petition for One-Month Extension of Time; and
- Check for \$1,318.00 to cover the filing fee (\$740), Surcharge (\$130), Petition for Filing in Language other than English (\$130), Recordation (\$40), Petition for Extension of Time (\$110) and fee for 2 independent claims in excess of 3 (\$168).

**U.S. Pat. App. No.: 09/940,855  
Atty. Docket No.: 33082M100**

If any additional fees are due in connection with this filing, such as fees under 37 C.F.R. §§ 1.16 or 1.17, the Commissioner is authorized to charge the fees to SGR Deposit Account No. 02-4300; Order No.33082M100. Similarly, please credit any overpayment to SGR Deposit Account No. 02-4300.

Respectfully submitted,

SMITH, GAMBRELL & RUSSELL, LLP

By: 

Michael A. Makuch, Reg. No 32,263  
1850 M Street, N.W., Suite 800  
Washington, D.C. 20036  
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Facsimile: (202) 263-4329

Dated: March 12, 2002



MAR 12 2002  
PATENT & TRADEMARK OFFICE  
JC98

# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/940,855	08/29/2001	Kazuyoshi Namba	33082M100

## CONFIRMATION NO. 5071

### FORMALITIES LETTER



\*OC000000007192536\*

SMITH, GAMBRELL & RUSSELL, LLP  
Suite 800  
1850 M Street, N.W.  
Washington, DC 20036

Date Mailed: 12/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/15/2002 NROCHA1 00000022 09940855

01 FC 501	740.00 0P
02 FC 502	168.00 0P
03 FC 505	130.00 0P
04 FC 509	130.00 0P

### FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 970.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing figures contain text that is not in English (including, for example, a flow chart

that was originally not in English that has been marked up to include the English text)  
see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

RECEIVED  
U.S. PATENT AND TRADEMARK OFFICE  
JULY 10 2008